



# APELL

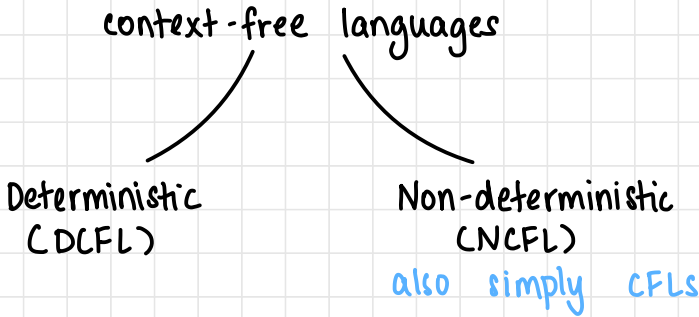
## UNIT - 4

CLASS NOTES

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# CLOSURE PROPERTIES OF CONTEXT-FREE LANGUAGES



## Closure Property

A set is closed under an operation if the operation can always be completed with elements in the set

## CLOSURE PROPERTIES OF CFLs

### Closed under

- union
- concatenation
- Kleene closure
- Reversal

### Not closed under

- intersection
- complement

# CLOSURE PROPERTIES

## UNION

If  $L_1$  is a CFL and  $L_2$  is a CFL, then  $L_1 \cup L_2$  is a CFL

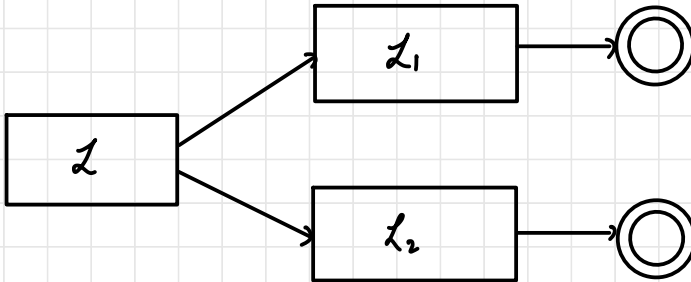
- or operation ( $L_1$  or  $L_2$ )

## Question 1

$$L_1 = \{a^n b^n c^m \mid m \geq 0 \text{ and } n \geq 0\}$$

$$L_2 = \{a^n b^m c^m \mid m \geq 0 \text{ and } n \geq 0\}$$

Show that they are closed under union as CFLs



$$S \rightarrow S_1 \mid S_2$$

$$S_1 \rightarrow (L_1)$$

$$S_2 \rightarrow (L_2)$$

] context free

## CONCATENATION

If  $L_1$  is a CFL and  $L_2$  is a CFL, then  $L_1L_2$  is a CFL

$$\begin{aligned} S &\rightarrow S_1S_2 \\ S_1 &\rightarrow (L_1) \\ S_2 &\rightarrow (L_2) \end{aligned}$$

### Question 2

$$\begin{aligned} L_1 &= \{a^n b^n \mid n \geq 0\} \\ L_2 &= \{c^m d^m \mid m \geq 0\} \end{aligned}$$

$$L = L_1L_2 = \{a^n b^n c^m d^m \mid n \geq 0, m \geq 0\}$$

PDA:

- push a's to stack & pop b's once b reached
- once  $z_0$  reached, push c's to stack until a d is seen
- resultant PDA  $\rightarrow$  CFL

## KLEENE CLOSURE

If  $L$  is a CFL,  $L^*$  is a CFL

### Question 3

$$L = \{a^n b^n \mid n \geq 0\}$$

$$L^* = \{(a^n b^n)^* \mid n \geq 0\}$$

$$\begin{aligned} S &\rightarrow AS \mid \lambda \\ A &\rightarrow aAb \mid \lambda \end{aligned}$$

## REVERSAL

If  $L$  is a CFL,  $L^R$  is a CFL

## NON-CLOSURE PROPERTIES

### INTERSECTION

- Intersection of a CFL with an RG is a CFL
- Intersection of a CFL with a CFL is not a CFL

### Question 4

$$L_1 = \{a^m b^n c^n \mid m, n \geq 0\}$$

$$L_2 = \{a^n b^n c^m \mid m, n \geq 0\}$$

$L_1 \cap L_2 = \{a^n b^n c^n \mid n \geq 0\} \rightarrow$  not context free  
cannot construct PDA

### RE and CFL

- cross product of PDA and DFA
- run paths parallelly from single start state
- if both reach final state, accepted

## COMPLEMENT

$\mathcal{L}_1 \rightarrow \text{CFL}$  ,  $\overline{\mathcal{L}_1} \rightarrow \text{not CFL}$

- According to DeMorgan's Law,  $\mathcal{L}_1 \cap \mathcal{L}_2 = \overline{\overline{\mathcal{L}_1} \cup \overline{\mathcal{L}_2}}$
- Assume complement to be closed and let  $\mathcal{L}_1$  &  $\mathcal{L}_2$  be CFLs
- Then,  $\overline{\mathcal{L}_1 \cup \mathcal{L}_2}$  should also be a CFL. However, the LHS  $\mathcal{L}_1 \cap \mathcal{L}_2$  is not a CFL.
- Therefore, complement is not closed under CFLs

## CLOSURE PROPERTIES OF DCFLS

- only closed under complement
- sure about when to push/pop (single path)

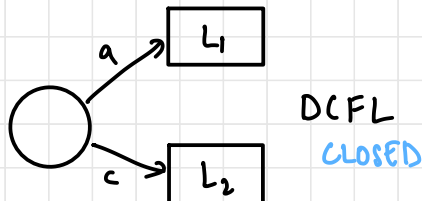
## NON-CLOSURE PROPERTIES

## UNION

$$\mathcal{L} = \{a^n b^n \mid n \geq 0\}$$

$$\mathcal{L}' = \{c^m d^m \mid m \geq 0\}$$

$\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2$  is DCFL

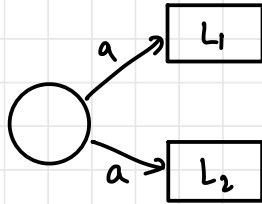


## Question 5

$$\mathcal{L}_1 = \{a^n b^m c^m \mid m, n \geq 0\}$$

$$\mathcal{L}_2 = \{a^n b^n c^m \mid m, n \geq 0\}$$

$$\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2$$



not DCFL

NOT CLOSED

- Not necessarily closed

## INTERSECTION

- Not necessarily closed under intersection

$$\mathcal{L}_1 = a^n b^n c^m$$

$$\mathcal{L}_2 = a^n b^m c^m$$

$$\mathcal{L} = \mathcal{L}_1 \cap \mathcal{L}_2 \longrightarrow \text{not DCFL}$$

## CONCATENATION

- Not necessarily closed under intersection

$$\mathcal{L} = \{a^n b^n \mid n \geq 0\}$$

$$\mathcal{L}' = \{c^m d^m \mid m \geq 0\}$$

$$\mathcal{L} = \mathcal{L}_1 \mathcal{L}_2 \text{ is DCFL}$$

can make PDA

## Question 6

$$\mathcal{L}_1 = \{a^n b^m \mid m < n\}$$

$$\mathcal{L}_2 = \{w c w^R\}$$

$$\mathcal{L} = \mathcal{L}_1 \mathcal{L}_2 = \{a^n b^m w c w^R\}$$

for example  $w = abb$   $\uparrow$   $bbacabbb$

don't know  
to start  $w$  from  
here

non-determinism

$\mathcal{L}$  is not a DCFL

## REVERSAL

If  $\mathcal{L}$  is a DCFL,  $\mathcal{L}^R$  is not necessarily a DCFL

# CLOSURE PROPERTIES

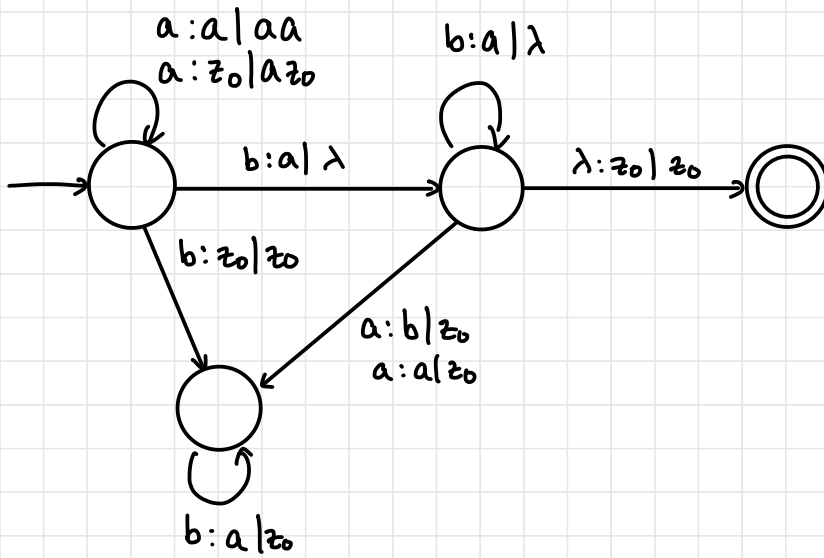
## COMPLEMENT

$\mathcal{L}_1 \rightarrow \text{DCFL}$ ,  $\overline{\mathcal{L}_1} \rightarrow \text{DCFL}$  (closed under complement)

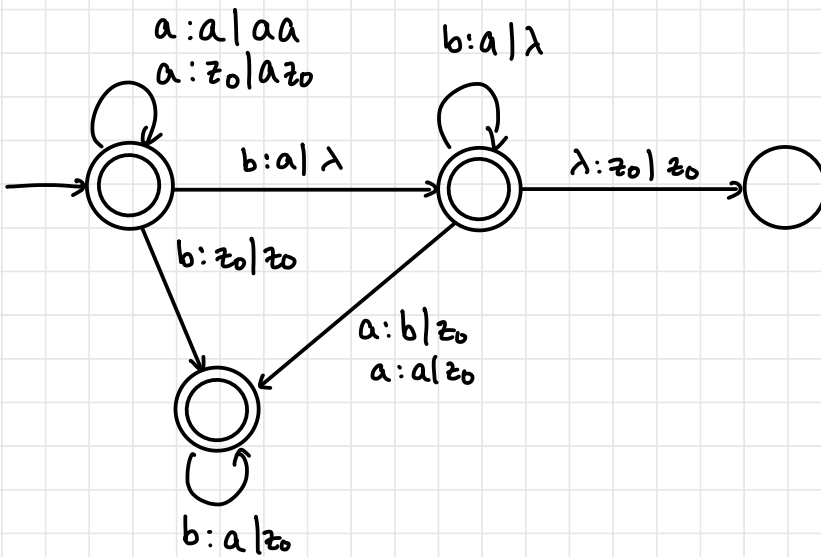
## Question 7

$$\mathcal{L} = \{a^n b^n \mid n \geq 0\}$$





• flipped states



# ANSWERING QUESTIONS ABOUT CFLS

## 1. Is a CFL empty?

- if start state  $S$  is non-generating

$S \rightarrow$  non generating

- if start symbol is non-terminating

$S \rightarrow aA | bB | C$

$A \rightarrow aA | Aa | bB$

$B \rightarrow bB | Ba | aA$

$C \rightarrow bA | aB | aSb | bSa$

non-terminating

- emptiness is a decidable property

## 2. Is a CFL finite?

- if there is a loop, the language is infinite

(a)  $S \rightarrow aSb | \lambda$  infinite

(b)  $S \rightarrow aA | bB | C$   
 $A \rightarrow Ab | bB$   
 $B \rightarrow bB | aS$  infinite

- decidable

### 3. Is a string $w$ a member of the CFL?

- derivable strings  $\rightarrow$  can use CYK algorithm
- decidable

### 4. Are two CFLs equal?

- no algorithm to prove equality of CFLs
- algorithm exists for RLs (if start states indistinguishable)

### 5. Is the CFL ambiguous?

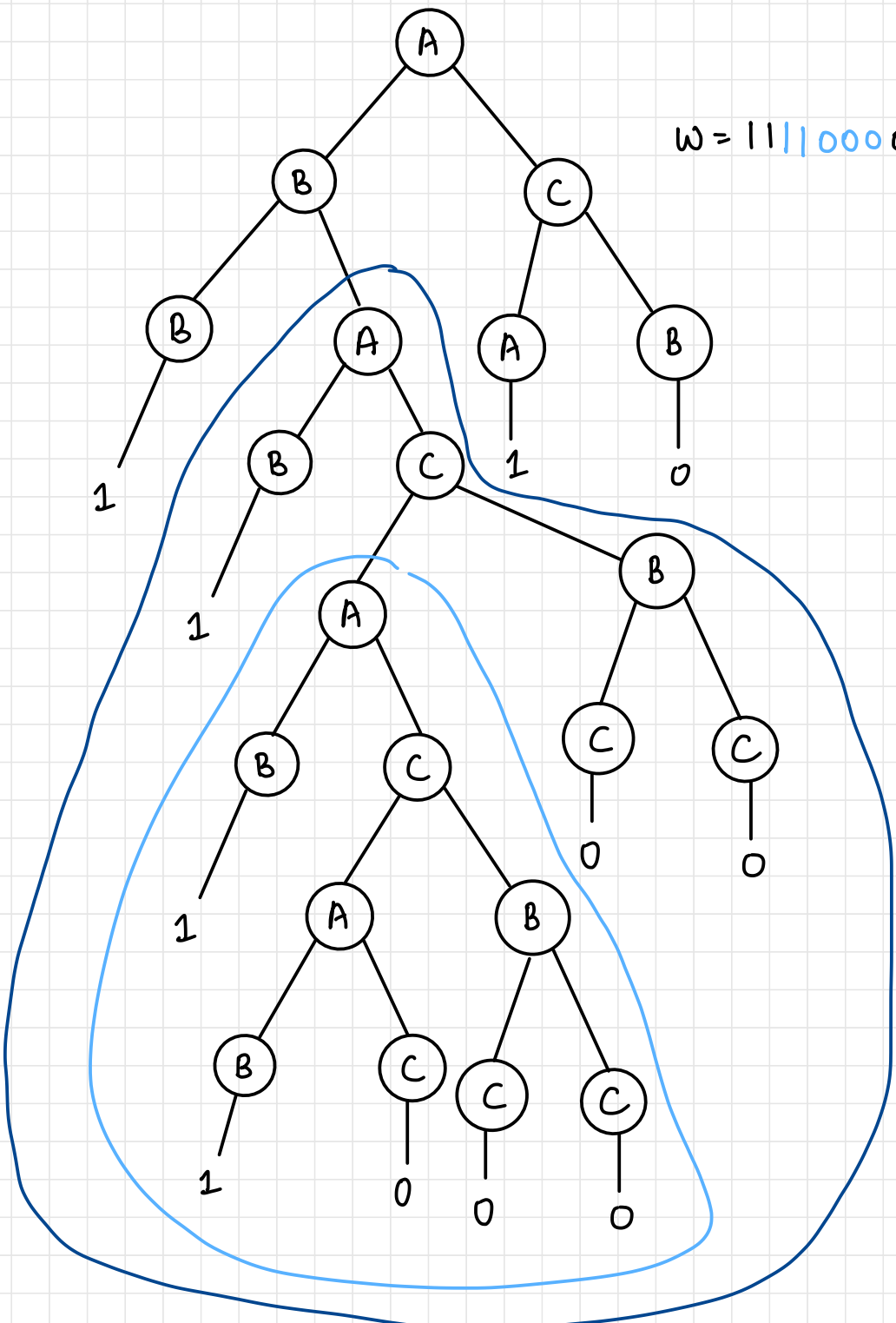
- not decidable; no algorithm

## PUMPING LEMMA

- Have to consider state as well as the stack contents
- Pumping lemma cannot be used in the same way as a regular language
- Work with CNF grammars and we work with long strings greater than number of non-terminals in the grammar
- Unlike RLs where we focus on number of states
- Divide into 5 parts
- Only can prove if  $L$  is not CFL (contradiction)



$w = 1110000010$



$$w = \underbrace{1}_{u} \underbrace{1}_{v} \underbrace{10}_{w} \quad \underbrace{00}_{x} \quad \underbrace{10}_{y} \quad \text{first iteration}$$

$$w = \underbrace{11}_{u} \underbrace{10}_{v} \underbrace{00}_{w} \quad \underbrace{00}_{x} \quad \underbrace{10}_{y} \quad \text{second iteration}$$

$$w = \underbrace{1111}_{u} \underbrace{10}_{v} \underbrace{000000}_{w} \quad \underbrace{10}_{x} \quad \underbrace{10}_{y} \quad \text{third iteration}$$

- only  $v$  &  $x$  keep changing

$$\begin{aligned} |word| &\geq n & n &= \text{no. of variables} \\ |vwx| &\leq m \\ |vx| &\geq 1 \end{aligned}$$

- note: not used to prove  $\mathcal{L}$  is CFL; only used when we cannot construct a PDA

### Question 9

$$\mathcal{L} = \{a^n b^n\}$$

word  $\rightarrow w = a^{20} b^{20} \quad m = 20$

word, not segment  $w$

$$|w| \geq m$$

$$\begin{array}{ccccc} a^{19} & a & \lambda & b & b^{19} \\ u & v & w & x & y \end{array}$$

## Question 10

$$\mathcal{L} = \{a^n b^n c^n \mid n \geq 0\}$$

- Assume  $a^n b^n c^n$  is a CFL
- Let  $s = a^p b^p c^p$   $p =$  pumping constant/  
no. of variables

$$\begin{aligned} |s| &\geq p \\ |vwx| &\leq p \\ |vx| &\geq 1 \end{aligned}$$

How to split

### Case 1

- $vwx$  is made only of a's

$$\begin{array}{c} a^p \mid b^p \quad c^p \\ vwx \end{array}$$

- $vx$  together must contain at least one a

$$\begin{array}{c} a \quad a \quad bb \quad cc \\ \underbrace{v} \quad \underbrace{wx} \quad y \\ \downarrow \quad \downarrow \end{array} \longrightarrow \text{not in } \mathcal{L}$$

## Case 2

- $vwx$  is made only of  $b$ 's

$$\begin{array}{ccc} a^p & b^p & c^p \\ u & vwx & y \end{array} \notin \mathcal{L}$$

## Case 3

- $vwx$  is made only of  $c$ 's

$$\begin{array}{ccc} a^p & b^p & c^p \\ u & vwx & y \end{array} \notin \mathcal{L}$$

## Case 4

- $vwx$  is made of some  $a$ 's and some  $b$ 's

$$\begin{array}{ccc} a^{p-2} & a & ab & b & b^{p-2} & c^p \\ u & v & w & x & y \end{array} \notin \mathcal{L}$$

## Case 5

- $vwx$  is made of some  $b$ 's and some  $c$ 's

$$\begin{array}{ccc} a^p & b^{p-2} & b & bc & c & c^{p-2} \\ u & v & w & x & y \end{array} \notin \mathcal{L}$$

- cannot span over all  $(a,b,c)$  as  $|vwx| \leq p$



## Question 11

$$L = \{ss \mid s \in \{a,b\}^*\}$$

- cannot construct PDA (only for  $ss^R$ )
- Let  $s = a^m b a^m b$ ,  $m = \text{no. of variables}$
- $|s| \geq m$  ✓
- divide into  $u, v, w, x, y$

Placement of  $u, v, w$

### Case 1

- $vw$  contains only  $a$ 's

$$\begin{array}{ccccccc} & & v^i w x^i & \text{for } i=2 & & & \\ \lambda & & & & & & \\ u & \textcircled{a} & a^{m-2} & \textcircled{a} & b & a^m & b \\ & v & w & x & & y & \notin L \end{array}$$

- pump in, more  $a$ 's in the first part

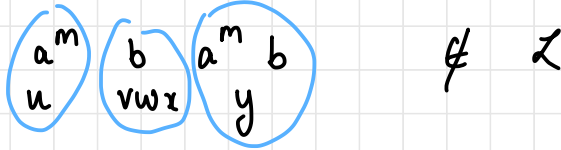
### Case 2

- $vw$  contains both  $a$ 's and  $b$ 's

$$\begin{array}{ccccccc} & & & & & & \\ & & & & & & \\ u & a^{m-1} & \textcircled{ab} & a^m & b & & \\ & & vw & y & & & \notin L \end{array}$$

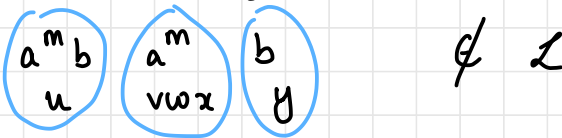
### Case 3

- $vwx$  contains only  $b$ 's



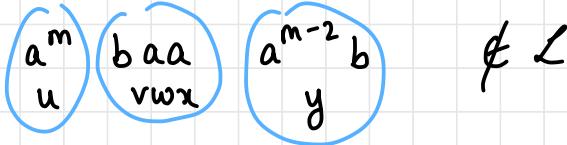
### Case 4

- $vwx$  contains only  $a$ 's



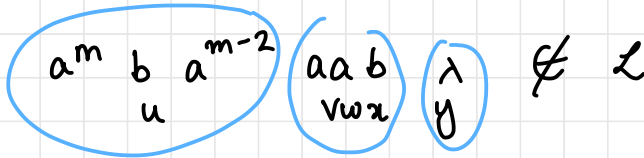
### Case 5

- $vwx$  contains  $b$ 's and  $a$ 's



### Case 6

- $vwx$  contains both  $a$ 's and  $b$ 's



## Question 12

$$\mathcal{L} = \{a^n b^m a^n \mid n \geq m\}$$

Assume  $\mathcal{L}$  is CFL,  $p = \text{no. of variables in CNF}$

$$w, |w| \geq p$$

$$u \underbrace{vxy}_{\text{loop}} z = w$$

$$|vxy| \leq p \quad \text{cannot span entire string}$$

$$|vy| \geq 1$$

$$w = a^p b^p c^p$$

### Case 1

$$\underbrace{a^p}_{uvxy} \mid \underbrace{b^p a^p}_z$$

$$a^{p+2} b^p a^p \notin \mathcal{L}$$

### Case 2

$$\begin{array}{ccc} a^p & b^p & a^p \\ u & vxy & z \end{array}$$

$$a^p b^{p+2} a^p \notin \mathcal{L}$$

### Case 3

$$\frac{a^p b^p}{u} \mid \frac{a^p}{vxy} \mid \frac{\lambda}{z} \notin \mathcal{L}$$

### Case 4

$$a^p b^p a^p$$

$$\frac{aaa \dots aabb \dots bbaa \dots aa}{u \quad vxy \quad z}$$

### Case 5

$$a^p b^p a^p$$

$$\frac{aa \dots aabb \dots bb aa \dots aa}{u \quad vxy \quad z}$$

### Question 13

$$\mathcal{L} = \{a^n b^m c^n d^{n+m} \mid n, m \geq 0\}$$

Assume  $\mathcal{L}$  is CFL,  $p = \text{no. of variables in CNF}$

$$w, |w| \geq p$$

$$u \underbrace{vxy}_{\text{loop}} z = w$$

$$|vxy| \leq p$$

$$|vy| \geq 1$$



\* Question 14

$$\mathcal{L} = \{a^{n^2} \mid n \geq 0\}$$

perfect squares

$$\mathcal{L} = \{\lambda, a, a^4, a^9, a^{16}, \dots\}$$

Assume  $\mathcal{L}$  is CFL,  $k =$  no. of variables in CNF (pumping constant)

$$w, |w| \geq k$$

$$u \underbrace{vxy}_{\text{loop}} z = w$$

$$|vxy| \leq k$$

$$|vy| \geq 1$$

$$\text{let } n = k^2$$

$$\text{let } w = a^{k^4}$$

$$\forall i \geq 0, w \in \mathcal{L}, w = uv^i x y^i z$$

$w$  contains only  $a$ 's

$$vy \rightarrow a^p \quad (\text{pump } p \text{ times})$$

$$w = a^{k^4 + p} = s \quad (\text{new string})$$

- to get the next perfect square of  $n^2 \rightarrow (n+1)^2$
- for  $a^{k^4}$ , next string is  $a^{(k^2+1)^2}$

$$a^{(k^4 + 2k^2 + 1)}$$

if  $p = 2k^2 + 1$ , only then the language is accepted

$\therefore |vy|$  would be  $2k^2 + 1$

but  $|vy| \leq k$

### \* Question 15

$$L = \{a^{n!} \mid n \geq 0\}$$

$$w = a^{m!}$$

$$\underbrace{u \ v \ x \ y \ z}_{m!}$$

$$\begin{aligned} v &= a^{k_1} \\ y &= a^{k_2} \end{aligned}$$

$$ak_1 + ak_2 = k$$

$$\underbrace{u \ v \ x \ y \ z}$$

$$m! + ak_1 + ak_2 = m! + k$$

$$m! + k \leq m! + m \leq (m+1)!$$

$a^{n!}$  not CFL

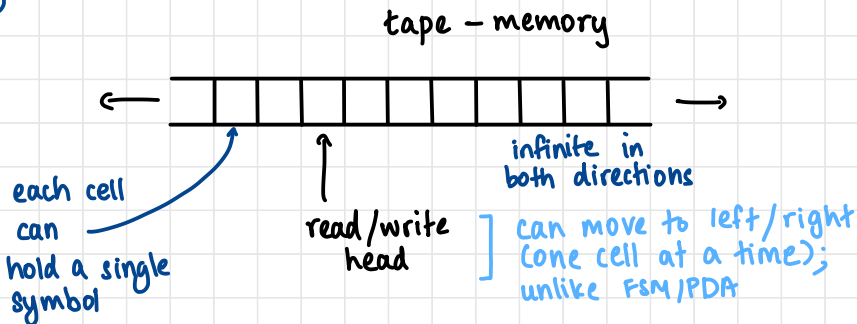
# TURING MACHINE

- More powerful than CFLs
- Languages — recursively enumerable languages
- Turing award — highest award given

## Alan Turing, 1936

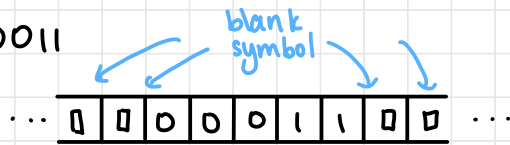
- Created an abstract machine (paper on Computable Numbers — 1936)
- Computing done on paper using symbols
- Imagine paper to be tape divided into square boxes and the tape is an abstract machine
- Behaviour of a computer (person) determined by the symbols they are using and their state of mind
- Transition depends on what state one is in and what one is looking at on the tape

## Turing Machine





For  $w = 00011$



Special symbols called  
blank symbols (not empty)  
B or  $\_$  or  $\square$

- Any computation that a modern computer is capable of performing can be performed (computed) by a Turing Machine
- If a computation cannot be performed by a Turing Machine, then it cannot be performed by a modern computer
- Tape data structure acts as input, output and stores intermediate memory (infinite memory)

## Formal Definition of Turing Machine

7-tuple,  $M = (Q, \Gamma, \square, \Sigma, \delta, q_0, F)$

- $\gamma$  (toe)  $Q$  — finite set of states  
 $\Gamma$  — tape alphabets  
 $\square$  — blank symbol  
 $\Sigma$  — alphabet  
 $\delta$  — transition function  
 $q_0$  — start state  
 $F$  — set of final states

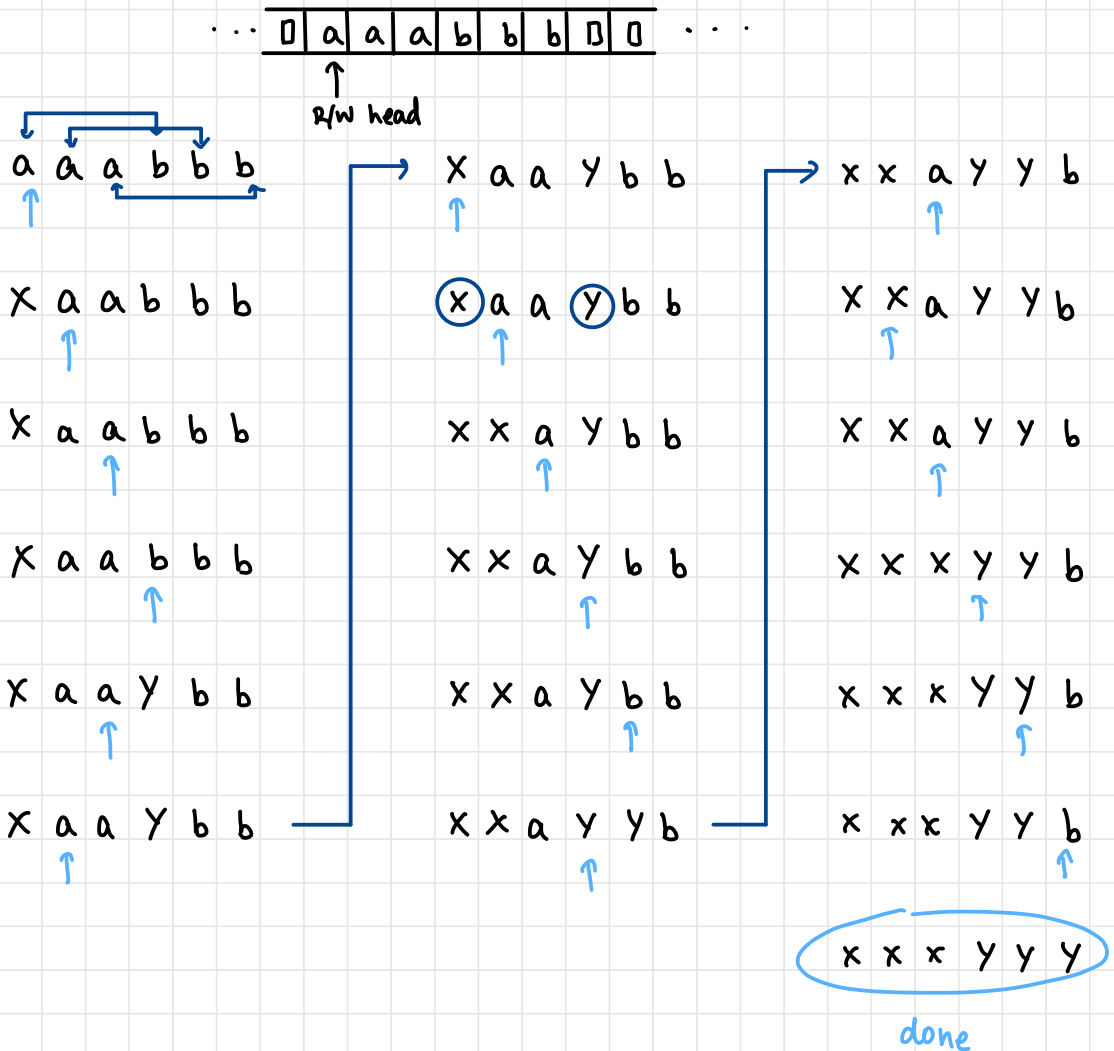
state    input symbol    read/write  
 $\delta = Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$   
move to the left or right

- TM is more powerful than FA and PDA and any string accepted by them is accepted by TM

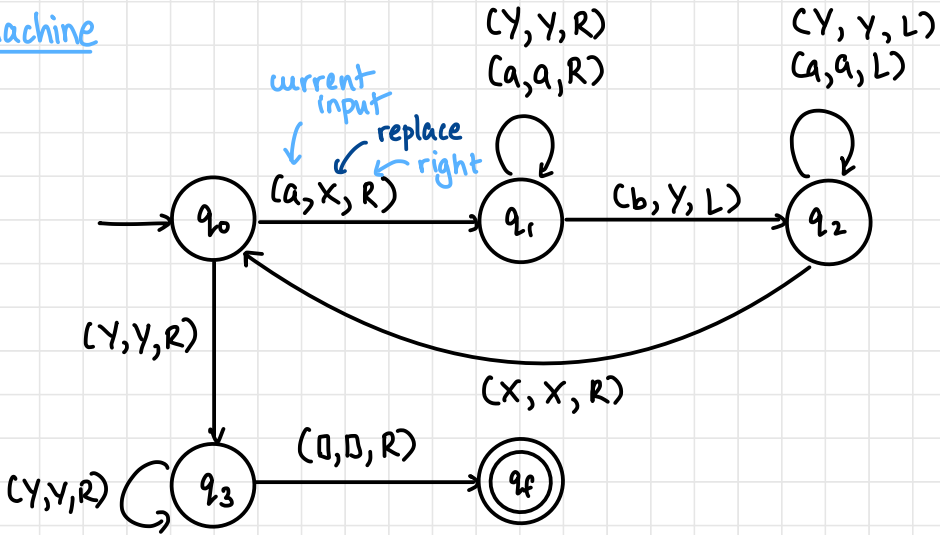
### Question 16

$L = \{a^n b^n \mid n \geq 1\}$  show acceptance by TM

$w = aaabbb$



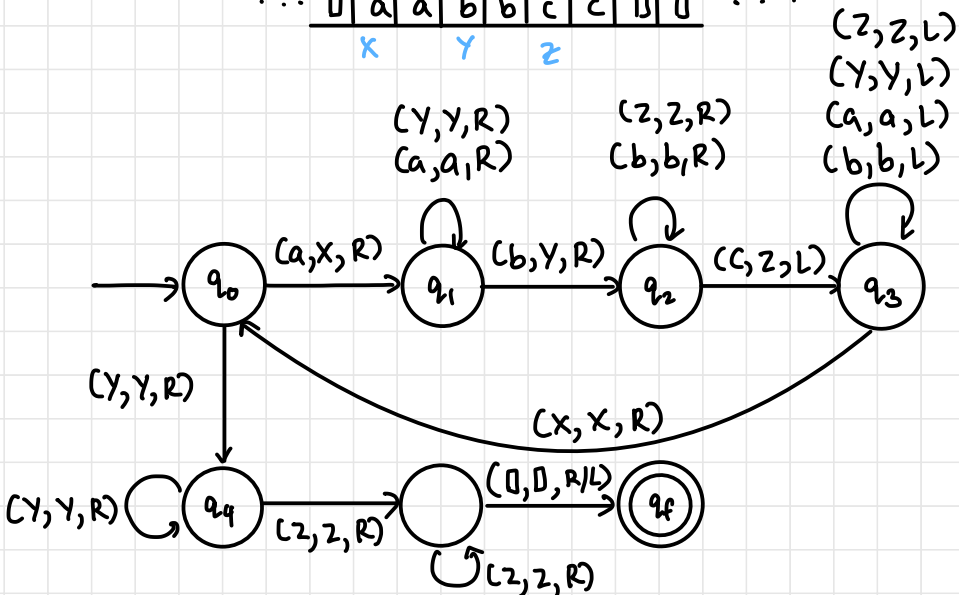
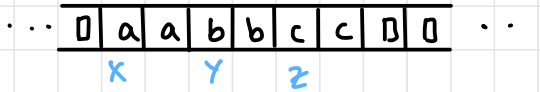
# Machine



## Question 17

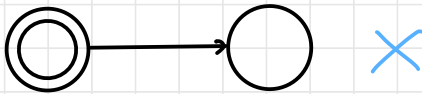
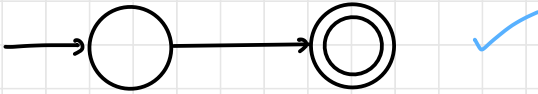
$$\mathcal{L} = \{a^n b^n c^n \mid n \geq 1\}$$

$$w = aabbcc$$



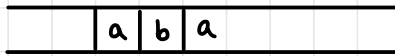
## Acceptance

- No outgoing transition from final state

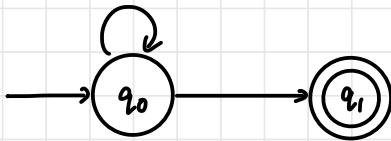


- If machine halts in a non-final state or enters an infinite loop, the string is rejected

## Infinite loop



$(b, b, L)$   
 $(a, a, R)$



- If machine halts on final state, string is accepted

# Turing machine

## Decider

- $\forall w \in \Sigma^*$
- all strings of a language
- either accepts all or rejects all

decidable  
or  
recursive  
algorithms

Halt and  
accept

Halt and  
reject

- Turing accepted machine
- Recursively enumerable language

## Acceptor

- $w \in \Sigma^*$
- particular string in a language
- only concerned about a single string

Halt and  
accept

Reject

halt       $\infty$   
unpredictable

## Continuation of Question 16

$$\Sigma = \{a^n b^n \mid n \geq 0\}$$

## Transition function

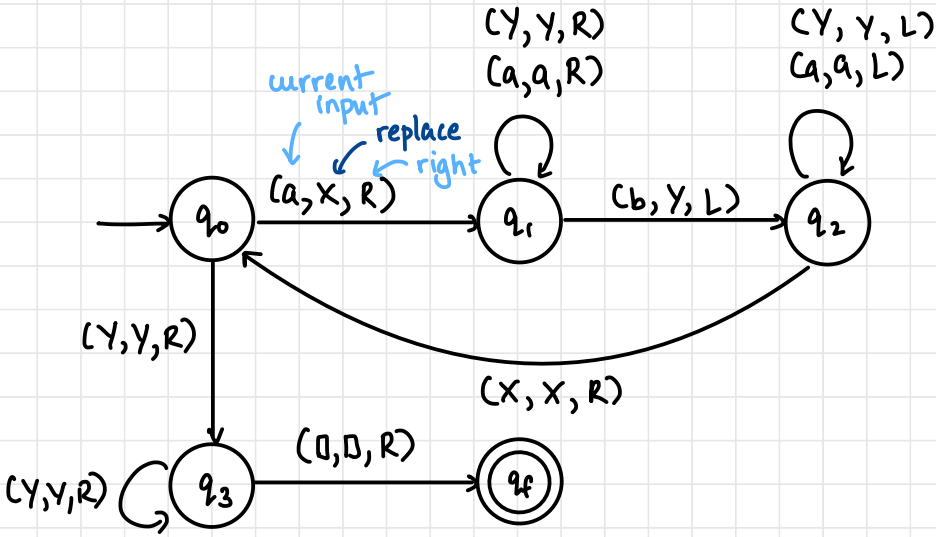
$$\delta(q_0, a) = (q_0, X, R)$$

$$\delta(q_0, b) = \text{halt}$$

$$\delta(q_1, a) = (q_1, a, R)$$

$$\delta(q_1, b) = (q_2, Y, L)$$

write for  
 $X$  &  $Y$  also  
(all tape  
symbols)



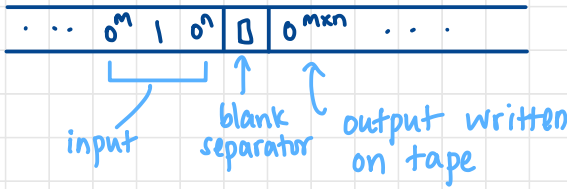
### Transition Table

state	a	b	X	Y	$\emptyset$
$\rightarrow q_0$	$q_1, X, R$	halt	halt	$q_3, Y, R$	halt
$q_1$	$q_1, a, R$	$q_2, Y, L$	halt	$q_1, Y, R$	halt
$q_2$	$q_2, a, L$	halt	$q_0, X, R$	$q_2, Y, L$	halt
$q_3$	halt	halt	halt	$q_3, Y, R$	$q_f, \emptyset, R$
$q_f$	halt	halt	halt	halt	halt

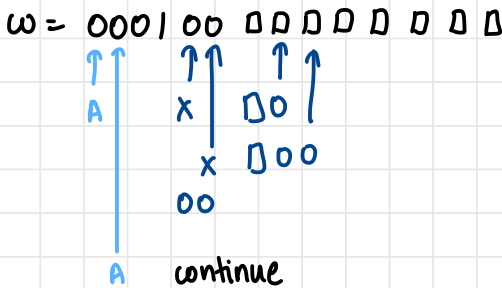
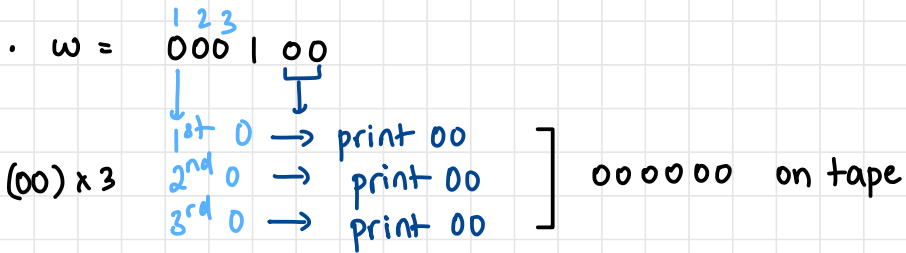
Question 18

$\mathcal{L} = \{0^m 1 0^n \mid n, m \geq 1\}$  Language of Multiplication

i/p  $\rightarrow$  o/p =  $0^{m \times n}$



- Perform repeated addition







## Question 19

$$\mathcal{L} = \{ ww \mid w \in \{a,b\}^* \}$$

- write down logic & then construct - mandatory
- must find centre
- divide string of even length into 2 equal parts
- if length not even, half
- match first half with second half

$$w = abaaba$$

0	0	a	b	a	a	b	a	0	0
---	---	---	---	---	---	---	---	---	---

0	0	A	b	a	a	b	0	a	0
---	---	---	---	---	---	---	---	---	---

0	0	A	B	a	a	0	b	a	0
---	---	---	---	---	---	---	---	---	---

0	0	A	B	A	0	a	b	a	0
---	---	---	---	---	---	---	---	---	---

↑ centre

0	0	a	b	a	0	a	b	a	0
---	---	---	---	---	---	---	---	---	---

0	0	A	b	a	0	A	b	a	0
---	---	---	---	---	---	---	---	---	---

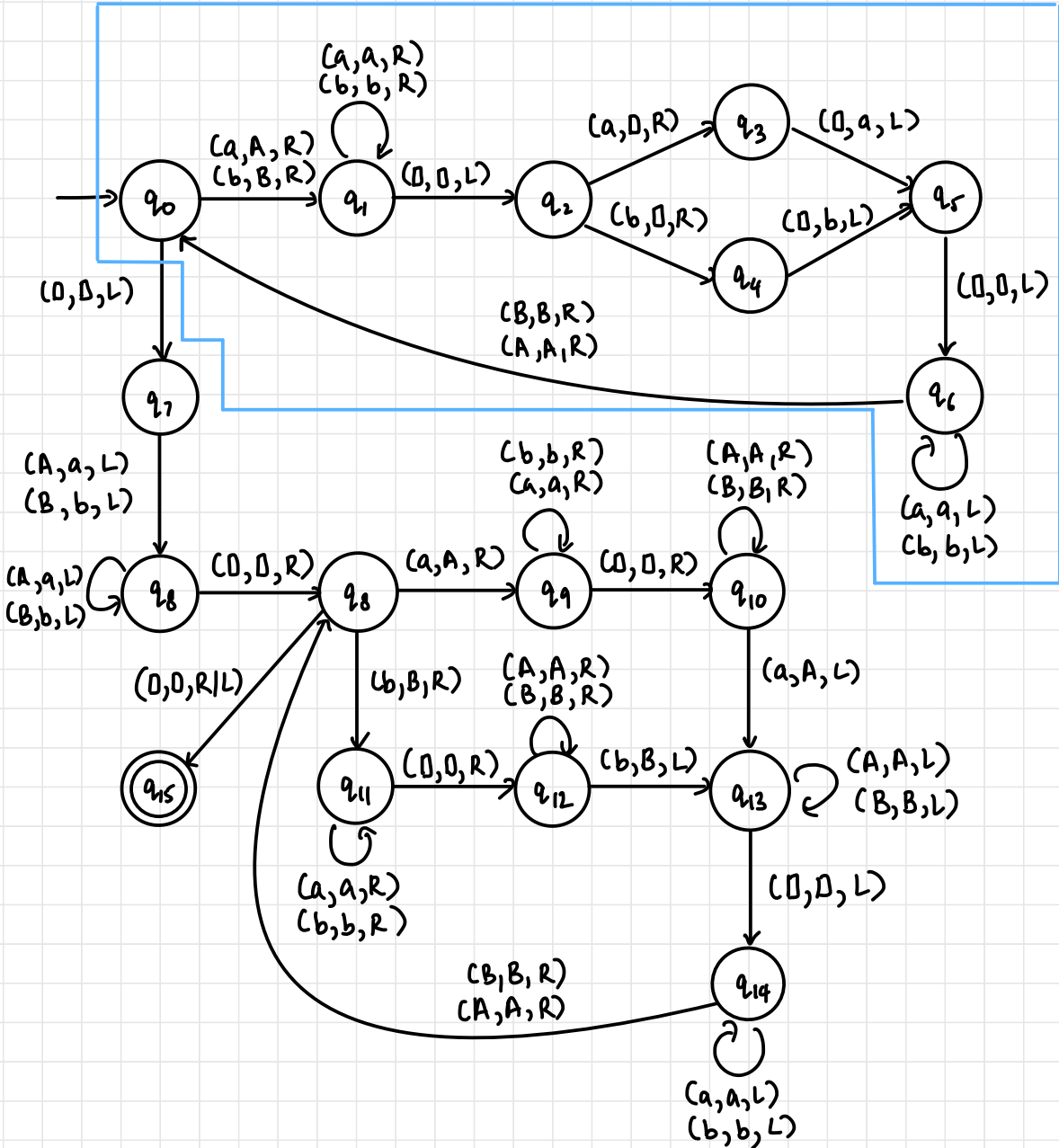
0	0	A	B	a	0	A	B	a	0
---	---	---	---	---	---	---	---	---	---

0	0	A	B	A	0	A	B	A	0
---	---	---	---	---	---	---	---	---	---

} find centre

} match

odd strings will get stuck here



## Question 20

### Language of subtraction

write c's onto tape

- if  $a > b$ : c
- if  $b > a$ : -c

$$\mathcal{L} = \{a^m b^n c^k \mid k = m - n \mid n, m \geq 1\}$$

- $\#a > \#b$
- $\#a < \#b$
- $\#a = \#b$

$\#a$ 's  $>$   $\#b$ 's

copy # of c's [aabccc  $\rightarrow$  should halt]

$\Rightarrow k + n = m$  or

$$a = \#b + \#c$$

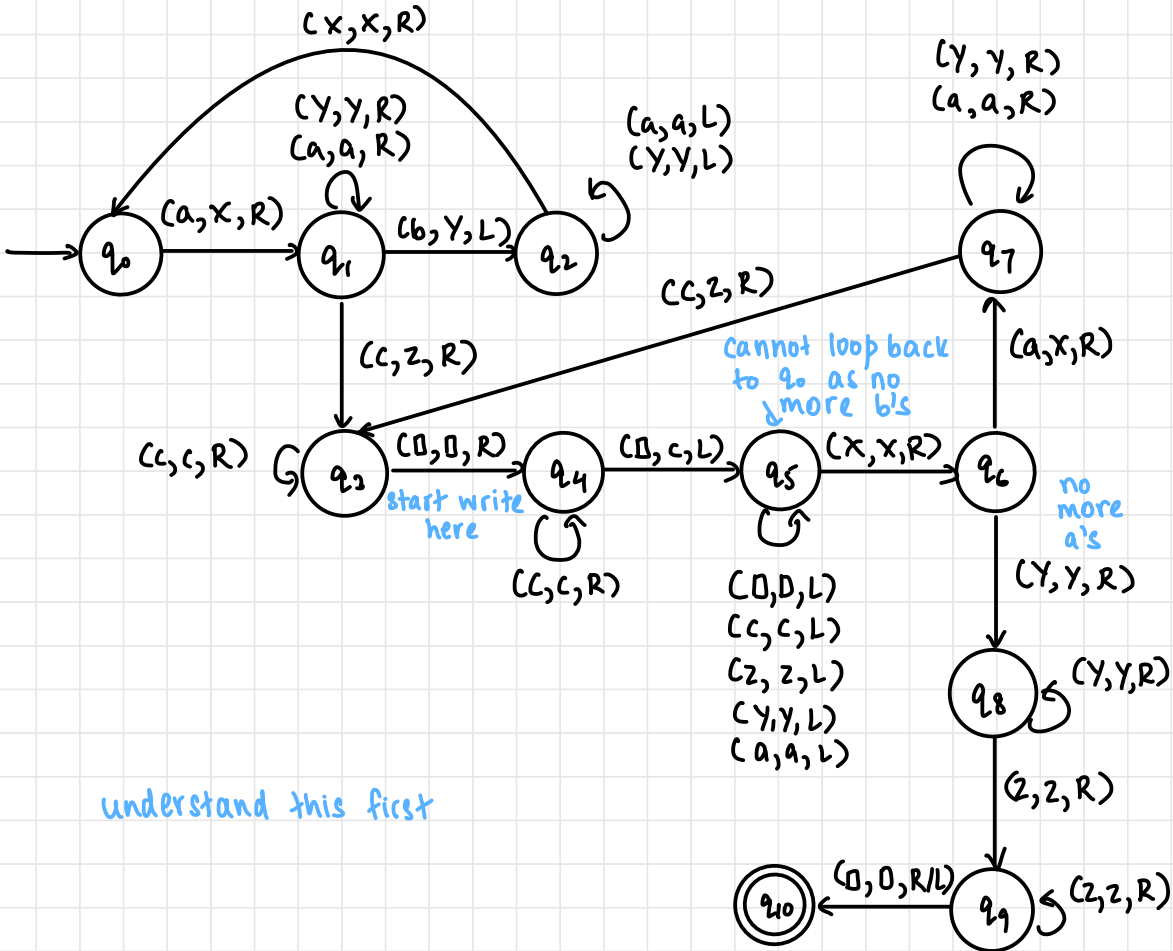
o/p  
└──┘

a	a	a	a	b	b	c	c	0	0	0
x	a	a	a	y	b	c	c	0	0	0
x	x	a	a	y	y	c	c	0	0	0
x	x	x	a	y	y	z	c	0	c	0
x	x	x	x	y	y	z	z	0	c	c

match a's & b's

aaaa bb cc  
 xaaaY  
 xYaaYcL  
 XXXaYyzc Dc  
 XXXXYyzZ Dcc  
 ↑  $q_6 \rightarrow q_8$

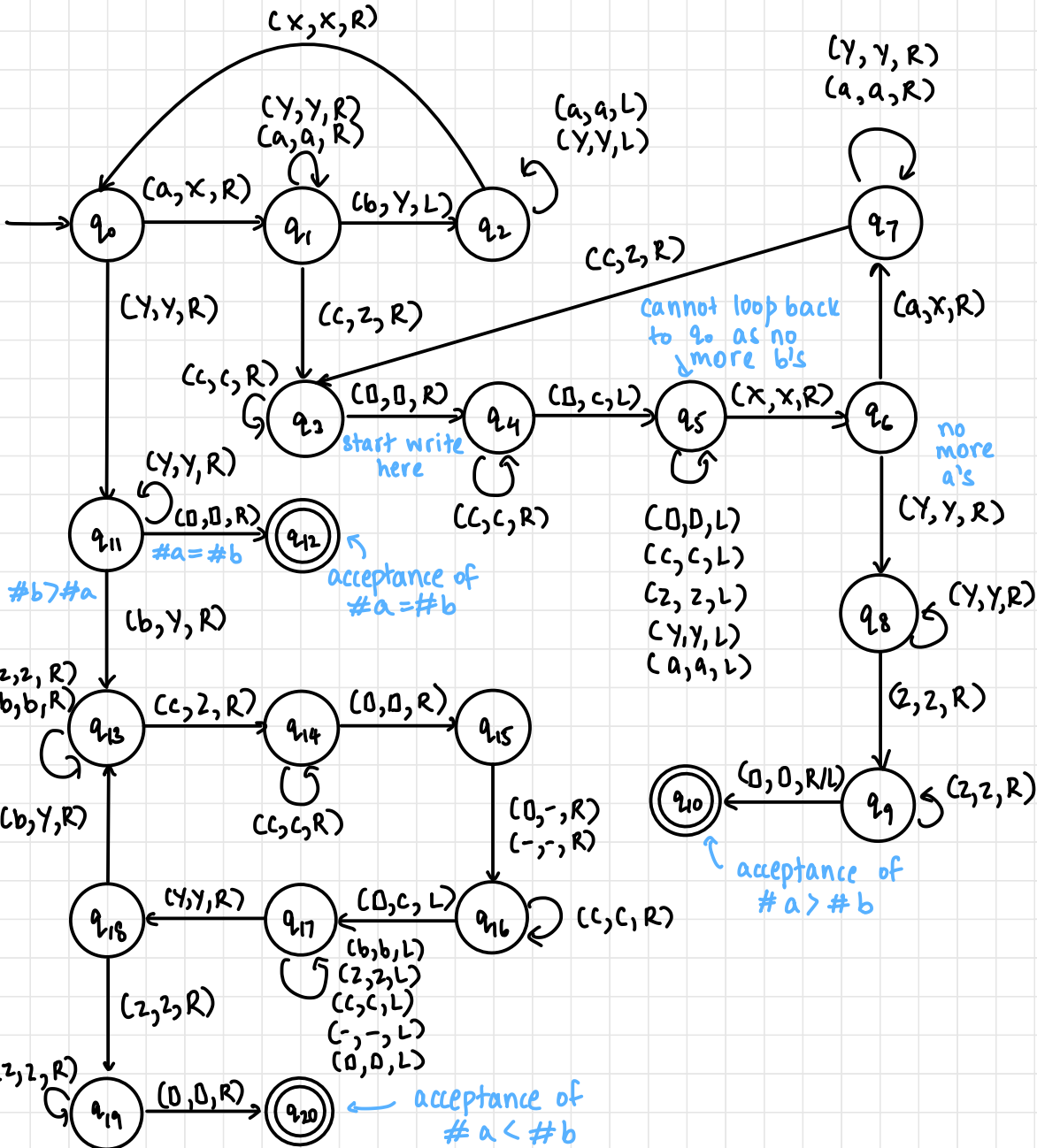
i) Only for #a's = #b's ; NOT COMPLETE YET



2) #a's = #b's  $\epsilon$  #a's < #b's

aa bbb bcc  
 xa ybb bcc  
 xx yy bcc

xx yyy bz c - c  
 xy yyy z z - cc  
 ↑  $q_{18} - q_{19}$



# Question 21

$$\Sigma = \{0^n 1^{n^2}, n \geq 1\}$$

$$n = 3$$

$w = 000 \mid 11111111$   
 $x00 \mid yyy11111$   
 $xx0 \mid yyyyyy111$   
 $xxx \mid yyyyyyyy$

] roughly

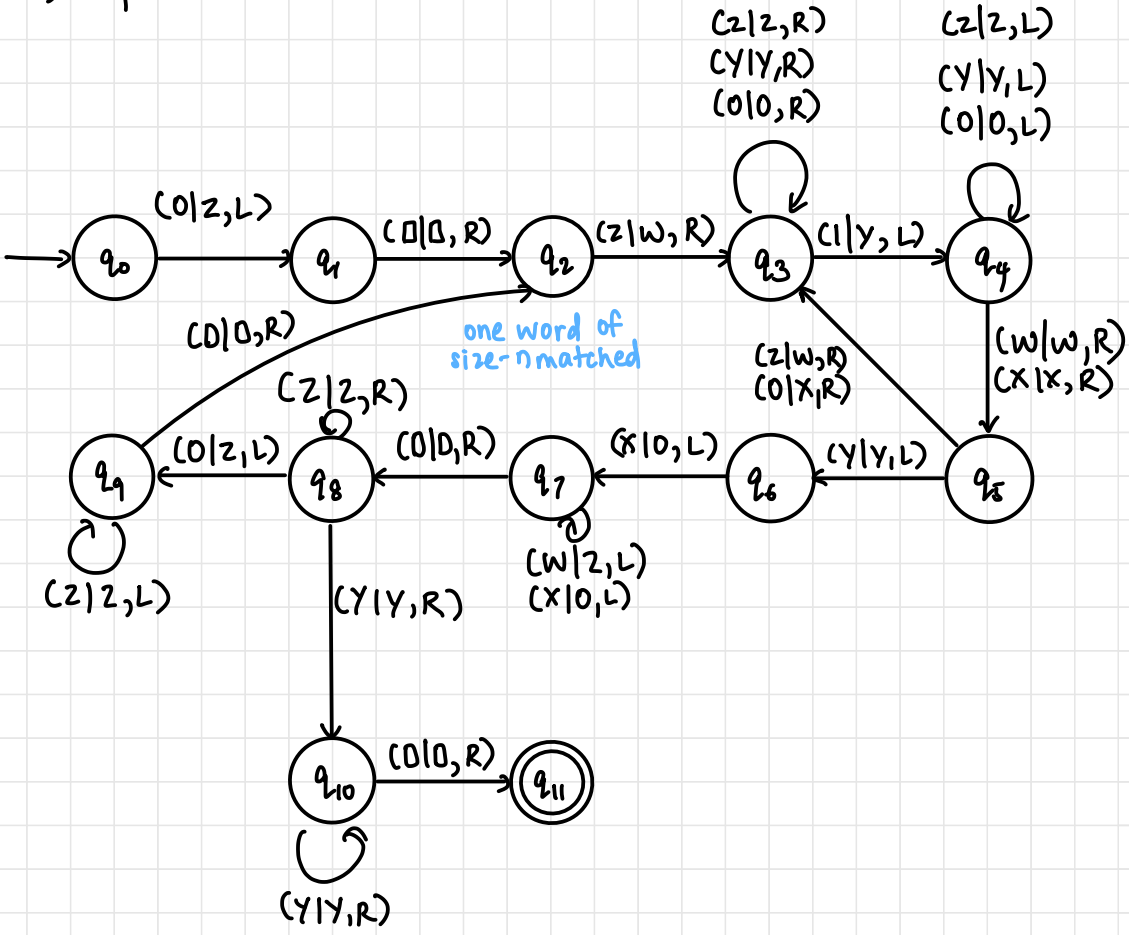
## Tape contents

1st →	□ 0 0 0   1 1 1 1 1 1 1 1 1 1 1 1 □
	□ z 0 0   1 1 1 1 1 1 1 1 1 1 1 □
	□ w 0 0 y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w x 0 y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w x x y y y   1 1 1 1 1 1 1 1 1 1 1 □
2nd →	□ z 0 0 y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ z z 0 y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w z 0 y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w w 0 y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w w x y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
3rd →	□ z z 0 y y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ z z z y y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w z z y y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w w z y y y y y y y   1 1 1 1 1 1 1 1 1 1 1 □
	□ w w w y y y y y y y y   1 1 1 1 1 1 1 1 1 1 1 □

✓ accepted

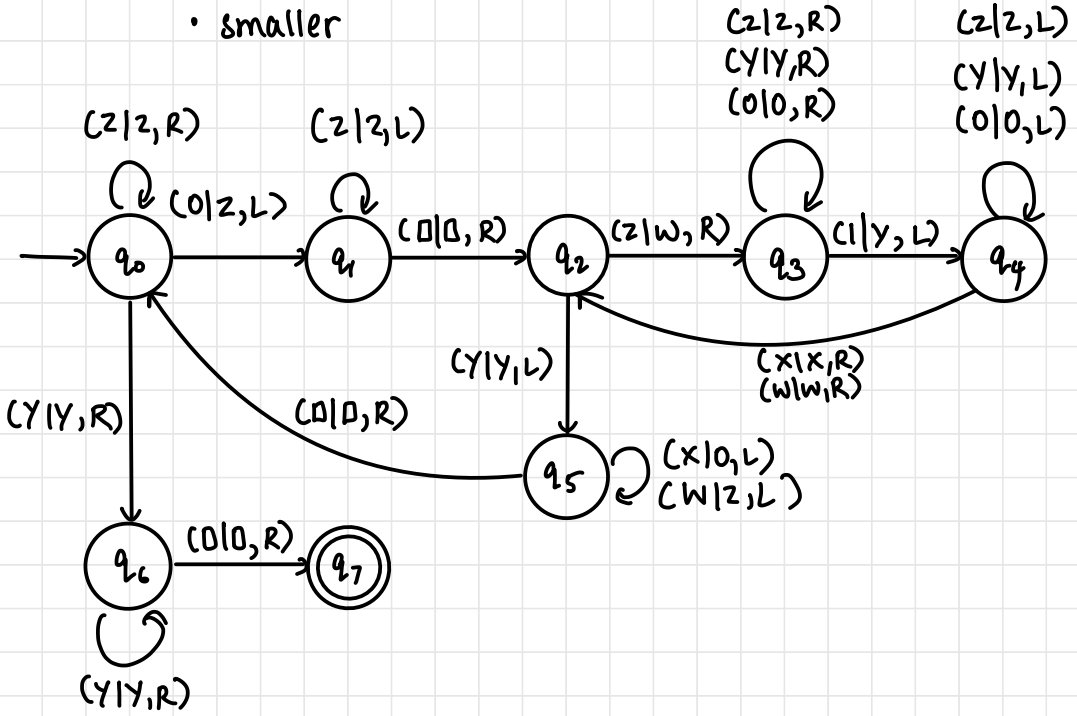
Logic

- 1) Check if 0 exists
- 2) Check if n 1's corresponding to that 0 exist
- 3) Repeat



# Alternate Machine

• smaller



## Question 22

$$\mathcal{L} = \{0^{2^n} \mid n \geq 0\}$$

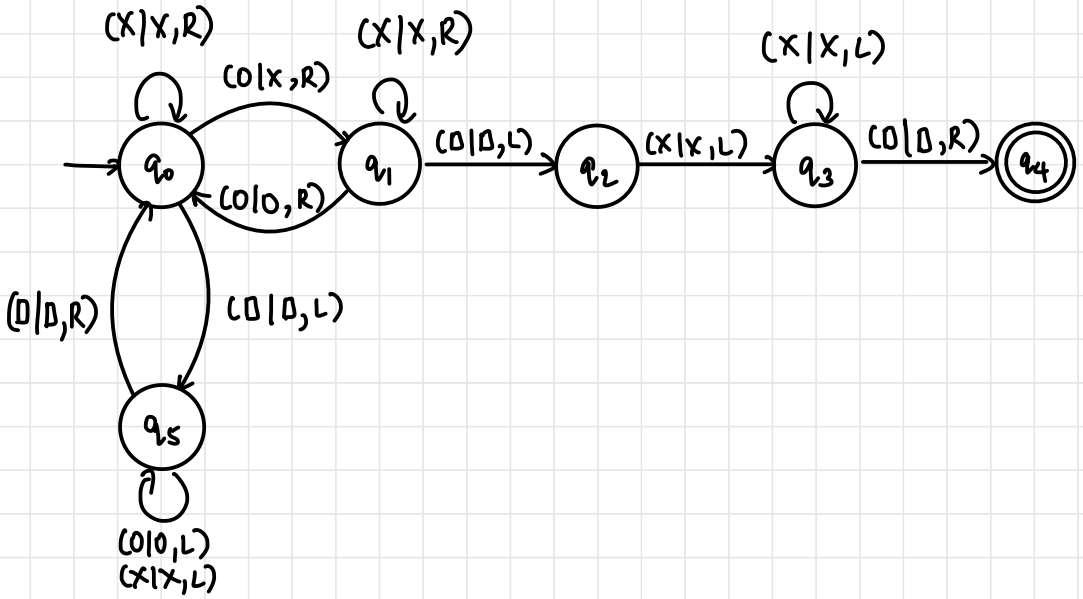
$$n=3$$

0	0	0	0	0	0	0	0	0	0	0
0	x	0	x	0	x	0	x	0	0	0
0	x	x	x	0	x	x	x	0	0	0
0	x	x	x	x	x	x	x	0	0	0
0	x	x	x	x	x	x	x	x	0	0

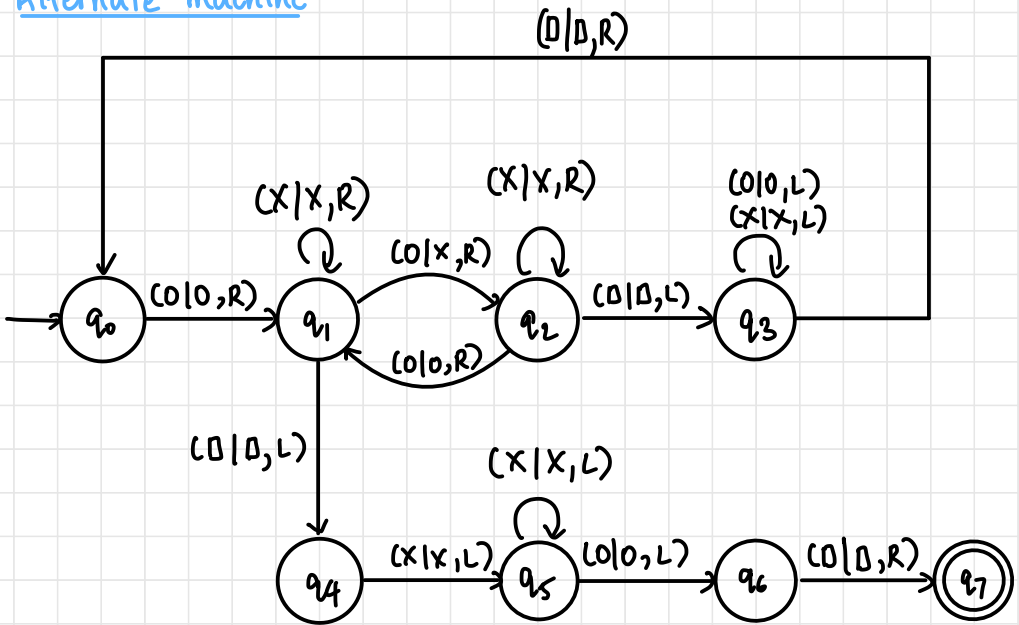
## Logic

- every round, check for  $(1/2)$  of the remaining zeroes
- strike out alternate zeroes
- eg: for 8 0's, first round 4 will go, then 2, then 1 then 1





Alternate machine

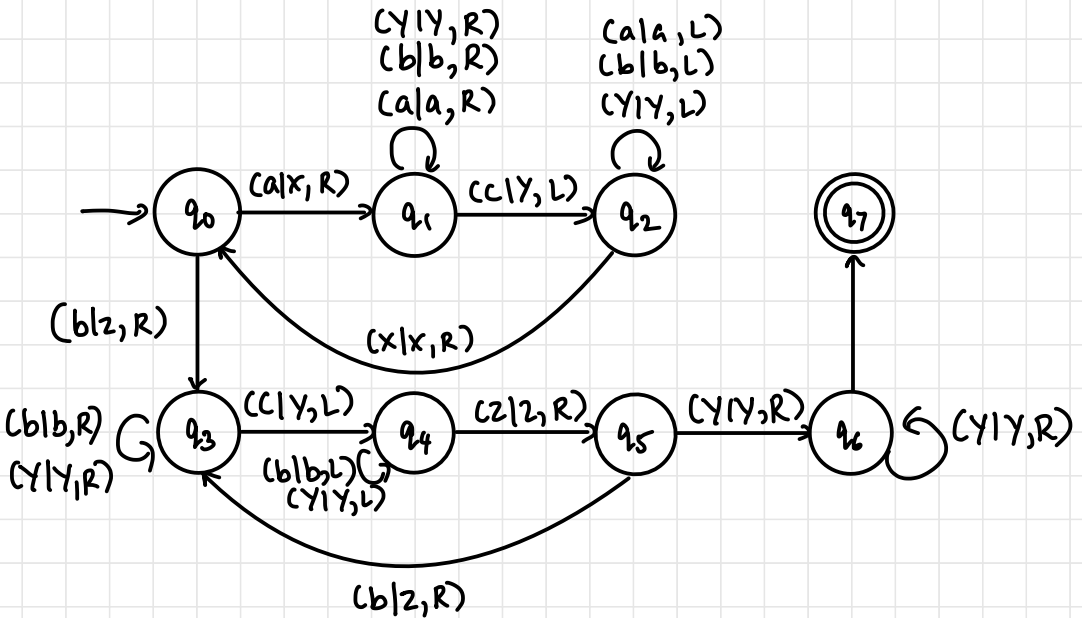


# Question 23

$$L = \{a^n b^m c^{n+m}\} \text{ accept}$$

- match #a's with #c's
- match #b's with #c's
- accept

□	a	a	b	b	b	c	c	c	c	c	□	□
D	X	a	b	b	b	Y	c	c	c	c	□	□
D	X	X	b	b	b	Y	Y	c	c	c	□	□
D	X	X	Z	b	b	Y	Y	Y	c	c	□	□
D	X	X	Z	Z	b	Y	Y	Y	Y	c	□	□
□	X	X	Z	Z	Z	Y	Y	Y	Y	Y	□	□

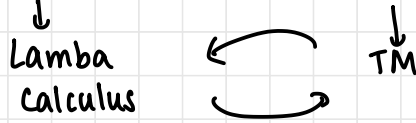




## Computable Function

- Function that TM can compute

- Alonzo Church and Alan Turing



- Only functions that are computable by TM's are computable
- Algorithm: TM decider
- Set of all TMs

$\{ M_1, M_2, M_3, \dots \}$  ← set of all Turing Machines

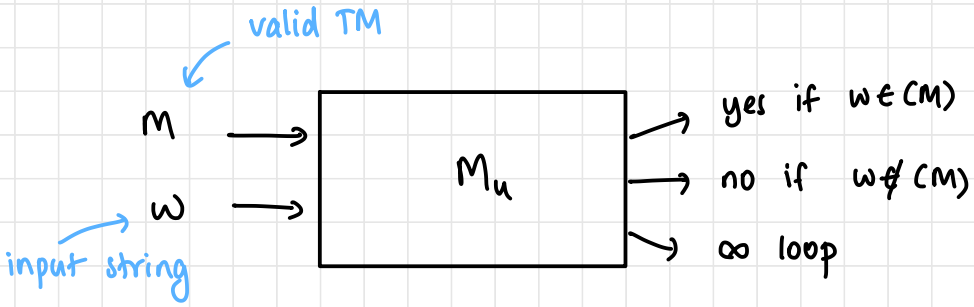
$\{ \mathcal{L}(M_1), \mathcal{L}(M_2), \mathcal{L}(M_3), \dots \}$

← set of all possible computable functions

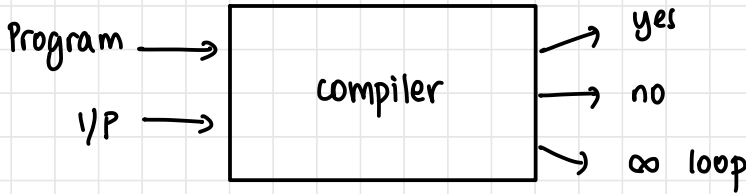
$\{ P_1, P_2, P_3, \dots \}$  ← valid computer programs one could write

## Universal Turing Machine

- Single TM that can compute any computable function
- Single TM that can simulate a TM (TM for a TM)
- Similar to compiler



Similar to compiler



### Structure of UTM

- 3-tape machine

0 # 1 # 1 0 ..
working memory

current state no. in binary

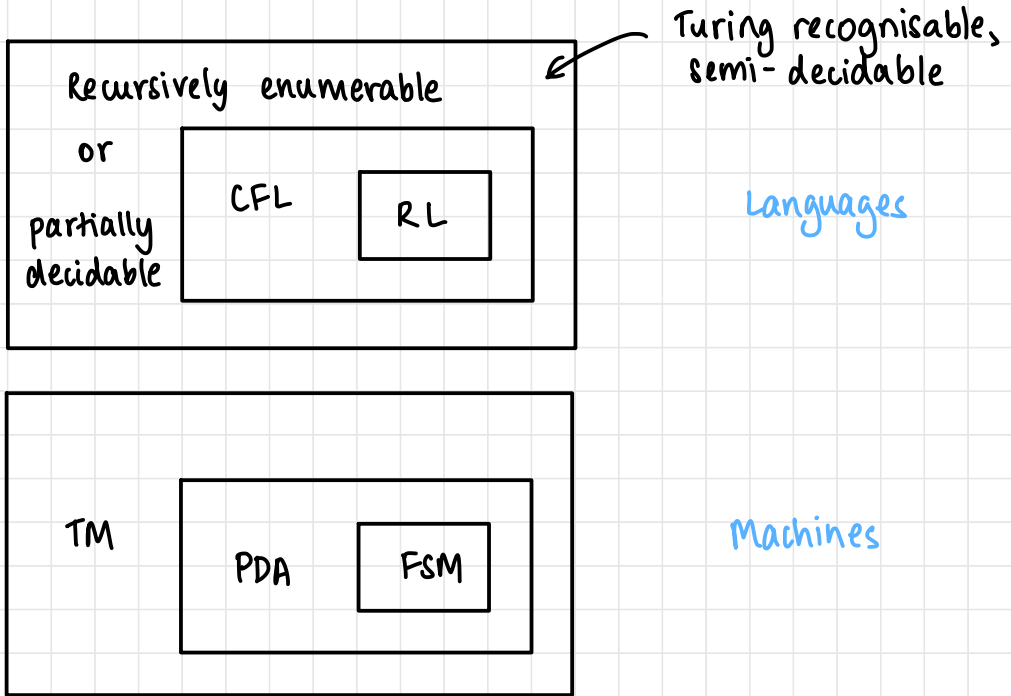
0 1 0 0 1 0 1
compiled program

encoding of TM (binary string)  
 $\delta(q_2, b, L)$  left = 0 etc.

. . . w . . .
I/P - O/P tape

I/P loaded from, O/P written to

# Chomsky Hierarchy



Recursively Enumerable Set These languages are accepted by TM

- a set is recursively enumerable if there exists an algorithm/procedure that can enumerate all members of the set
- makes sense to talk about the  $i$ th element of a set
- can generate an element of the set in finite time
- if the set can be mapped with the set of natural numbers  $N$  in one-to-one correspondence
- finite no. of elements between two elements in the set

## Question 25

Prove that the following are RE languages (write TM to enumerate strings)

- 1) Set of  $N$   
2) Set of even no.s ( $E$ )
- } write each element onto tape with 1-1 correspondence to natural no.s

- countable infinity

3)  $\Sigma^*$

- set of all strings over the alphabet  $\Sigma$
- countably infinite
- suppose  $\Sigma = \{a, b, c\}$

- generate strings of increasing length

works ↓

$\left[ \begin{array}{l} \lambda \quad \text{length 0} \\ a, b, c \quad \text{length 1} \\ aa, ab, ac, ba, bb, bc, ca, cb, cc \quad \text{length 2} \\ \vdots \end{array} \right.$  ← can print string in finite time

- alphabetical does not work

$\lambda, a, aa, aaa \dots$  never reaches  $b$

4) Set of all TMs are enumerable

- every TM can be described as binary string
- length  $| \langle M \rangle |$  is finite — finite binary strings
- set of TM  $\subset (0+1)^*$  ( $\Sigma^*$ )  
↳ finite states

- proper subset (not all encodings are valid)
- enumerate like prev. example
- makes sense to talk about  $i^{\text{th}}$  TM

5)  $\mathbb{Q} = \left\{ \frac{m}{n} \mid m, n \in \mathbb{N} \right\}$  is a set of +ve rational no.s

Prove countably  $\infty$

	1	2	3	4	5	...	...
1	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	$\frac{1}{5}$	...	...
2	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\frac{2}{4}$	$\frac{2}{5}$	...	...
3	$\frac{3}{1}$	$\frac{3}{2}$	$\frac{3}{3}$	$\frac{3}{4}$	$\frac{3}{5}$	...	...
4	$\frac{4}{1}$	$\frac{4}{2}$	$\frac{4}{3}$	$\frac{4}{4}$	$\frac{4}{5}$	...	...
5	$\frac{5}{1}$	$\frac{5}{2}$	$\frac{5}{3}$	$\frac{5}{4}$	$\frac{5}{5}$	...	...
...	...	...	...	...	...	...	...

check for  
duplicates  
in prog.

- count diagonally; not row/column wise

6) Enumerate all strings in RE language

- parallel computation, one step at a time
- no full string processed at once

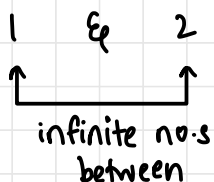


## Non-Recursively Enumerable

- Cantor - pair elements of different sets
- Diagonalisation

### Question 26

Set of all real no.s



if precision limited, becomes recursively enumerable

- cannot enumerate all real no.s
- uncountably infinite
- cannot talk about  $i$ th element (real no.)

### Formal Proof

Diagonalisation - proof by contradiction

Assumption:  $\mathbb{R}$  is enumerable

$f(n) \Rightarrow$  func. that lists all real no.s

1	3 .	1	4	2	3	4
2	7 .	8	9	1	4	0
3	8 .	2	3	6	7	1
4	9 .	1	1	7	9	2
5	2 .	2	3	0	1	3

$r_d = .19693$   
complement/add/sub/  
alter

$\bar{r}_d = .08582$

- $\bar{r}_d$  cannot be #1  $\because$  it differs in the first dec.
- cannot be #2  $\because$  it differs in the second dec.
- differs from  $i^{\text{th}}$  row in  $i^{\text{th}}$  place
- $\bar{r}_d$  is new number that has not been enumerated (contradiction)
- $\therefore$  it is not recursively enumerable

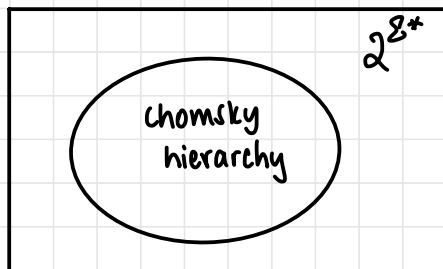
## Question 2]

Set of all languages

any language  $\subseteq \Sigma^*$   $\leftarrow$  enumerable  
 $\{L_1, L_2, \dots\}$   $\uparrow$  all strings

Total no. of subsets that can be formed using the set  $\Sigma^*$   
 = Power set of  $\Sigma^*$

$\mathcal{L} \in P(\Sigma^*)$   $2^{\Sigma^*}$  languages



# Formal Proof

Assumption: Set of all languages is enumerable

TMs		$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	$s_6$	...	(call strings - enum)
$M_1$	$L_1$	1	0	0	1	1	0		$L_1 = \{s_1, s_4, s_5, \dots\}$
$M_2$	$L_2$	0	1	0	0	0	1		$L_2 = \{s_2, s_6, \dots\}$
$M_3$	$L_3$	1	0	1	1	0	1		$L_3 = \{s_1, s_3, s_4, s_6, \dots\}$
$M_4$	$L_4$	1	1	0	0	0	1		$L_4 = \{s_1, s_2, s_6, \dots\}$
$M_5$	$L_5$	0	1	1	0	0	1		$L_5 = \{s_2, s_3, s_6, \dots\}$
...	...	0	1	1	0	1	1		$L_6 = \{s_2, s_3, s_5, s_6, \dots\}$

(1 - string is in language)

$L_{diag} = \{s_1, s_2, s_3, s_6\}$   
 ↓  
 could be enumerated

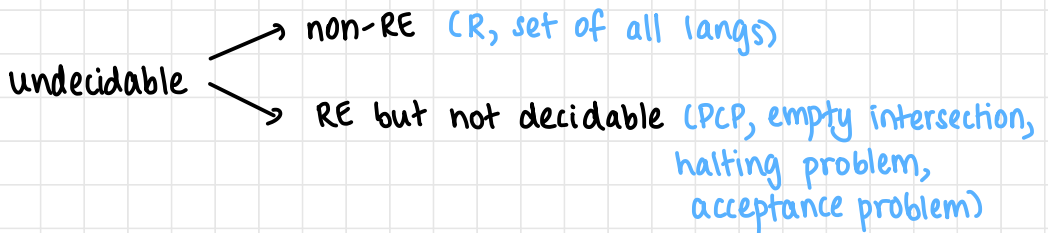
find  $L$ 's complement

$L_{NON-RE} = \{s_4, s_5, \dots\}$   
 ↓  
 not same as any enumerated string

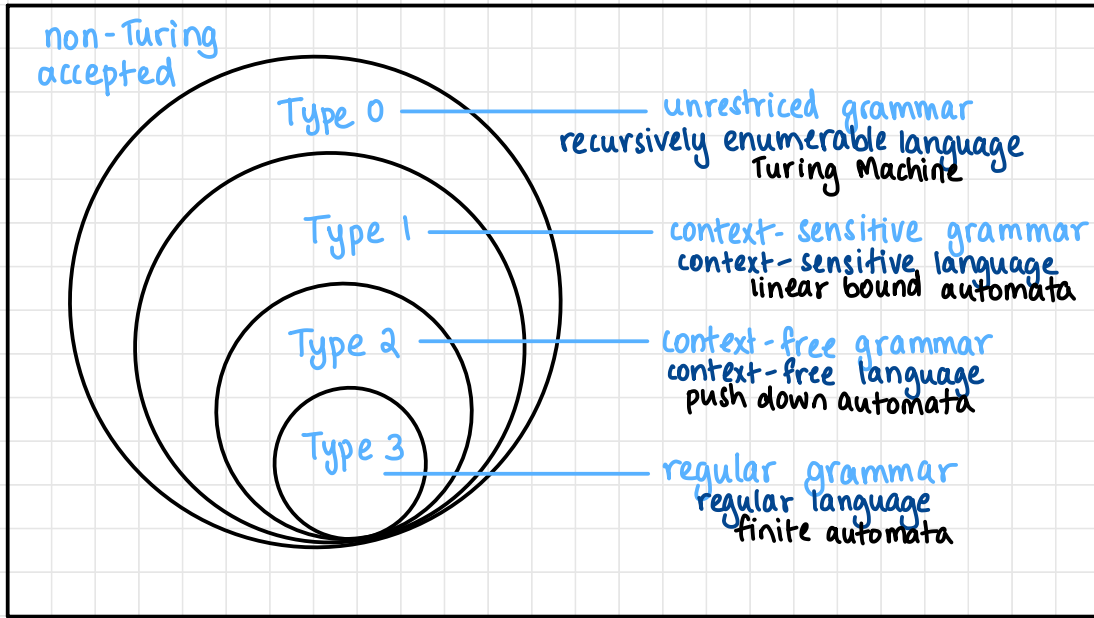
- differs from  $i^{th}$  row in  $i^{th}$  place
- $\therefore$  there are more languages than Turing Machines
- TMs are RE and finite
- There are more problems than solutions (TMs)
- Most  $L$  are non recursively enumerable (do not have direct description)

- There exist RE languages that are not decidable as well as non RE languages that are full undecidable

Recursive	Recursively Enumerable
<ul style="list-style-type: none"> <li>• recursive <math>\subset</math> RE</li> <li>• enumeration procedure (Turing Machine)</li> <li>• Membership function</li> <li>• TM deciders</li> </ul>	<ul style="list-style-type: none"> <li>• enumeration procedure (Turing Machine)</li> <li>• No membership function (can enter <math>\infty</math> loop)</li> <li>• TM acceptors</li> </ul>



# Chomsky Hierarchy



## Undecidability

- Exploring limits of algorithmic solvability; unsolvability
- Non-RE  $\rightarrow$  no TM
- RE but not decidable  $\rightarrow$  no algorithm for all instances
- Try to simplify/alter problem if unsolvable

## POST CORRESPONDANCE PROBLEM

- Undecidable decision problem  $\Rightarrow$  RE but not decidable
- Emil Post in 1946
- Given two sets of strings  $A$  &  $B$  framed over the same alphabet  $\Sigma = \{a, b\}$

could be infinite;  
same no. of strings in A & B

	A	B
1	$a_1$	$b_1$
2	$a_2$	$b_2$
3	$a_3$	$b_3$
4	$a_4$	$b_4$
$\vdots$	$\vdots$	$\vdots$
$n$	$a_n$	$b_n$

- Come up with a sequence such that if strings of  $A$  &  $B$  are concatenated in that sequence, the resulting strings are equal
- eg: sequence 3, 2, 1  
 $a_3 a_2 a_1 = b_3 b_2 b_1$  ← should hold true
- Programming approach: if list is finite and repetitions are not allowed, all permutations can be listed and it is solvable
- If infinite or repetitions allowed, unsolvable

## Question 28

PCP for the given sets of strings

	A	B
1	10	10110
2	111	01
3	110110	1010

- cannot start with 2 as it differs in first place
- cannot start with 3 as it differs in second place
- can start with 1

A      1      3  
10 110 110  
B      10 110 1010 ] x

- this set of A & B has no solution

## Question 29

	A	B
1	a	baa
2	ab	aa
3	bba	bb

PCP: solve

- cannot start with 1 or 2

3    2    3    1  
A    bba ab bba a  
B    bb aa bb baa ]    bbaabbbaa    ← solvable

### Question 30

Solve PCP

	A	B
1	bb	bbb
2	baa	aab
3	bbb	bb

1)  $\left. \begin{array}{l} A \text{ } \overset{1}{bb} \overset{3}{bbb} \\ B \text{ } \overset{1}{bbb} \overset{3}{bb} \end{array} \right] (13+31)^* \text{ any combination}$

2)  $\begin{array}{l} A \text{ } \overset{1}{bb} \overset{2}{baa} \overset{3}{bbb} \\ B \text{ } \overset{1}{bbb} \overset{2}{aab} \overset{3}{bb} \end{array}$

### Question 31

Solve PCP

	A	B
1	10	101
2	011	11
3	101	011

$\left. \begin{array}{l} A \text{ } \overset{1}{10} \overset{3}{10110} \overset{1}{101} \\ B \text{ } \overset{1}{101} \overset{3}{011101} \end{array} \right] \times$

$\left. \begin{array}{l} A \text{ } \overset{1}{10} \overset{3}{101101} \dots \text{ never} \\ B \text{ } \overset{1}{101} \overset{3}{011011} \dots \text{ halts} \end{array} \right]$

- If able to prove A: undecidable (PCP), can prove B is undecidable



# Idea of Reduction

$A \leq_m B$ 
subroutine  
assume if B can be solved, so can A

(reduce A to B)

know  
 undecidable  
 (PCP)

## Question 32

∞ loop  
 (Problem of empty intersection) Given 2 CFLs  $L_1$  &  $L_2$ , can we find out if  $L_1 \cap L_2$  is  $\emptyset$

- Reduce PCP to  $L_1 \cap L_2 = \emptyset$  ; change 1/P

$$PCP \leq_m L_1 \cap L_2 = \emptyset?$$

PCP	A	B
a	1	111
b	10111	10
c	10	0

convert  
 ↓  
 2 grammars

List A:  $S_A \rightarrow 1S_A a \mid 10111S_A b \mid 10S_A c \mid -$

List B:  $S_B \rightarrow 111S_B a \mid 10S_B b \mid 0S_B c \mid -$

	b	a	a	c
A	10111	111	10	
B	10	11111	10	

- try to generate  $w = 10111110$  with grammars

$$1) S_A \rightarrow 1S_A a \mid 10111S_A b \mid 10S_A c \mid - (cbaac)$$

$$\begin{aligned}
 S_A &\stackrel{\cup_m}{\Rightarrow} 10111 S_A b \\
 &\Rightarrow 101111 S_A ab \\
 &\Rightarrow 1011111 S_A aab \\
 &\Rightarrow 101111110 S_A (caab) \\
 &\Rightarrow 101111110 - (caab)
 \end{aligned}$$

sequence kept in check

reversed because it is nested

$$2) S_B \rightarrow 111S_B a \mid 10S_B b \mid 0S_B c \mid -$$

$$\begin{aligned}
 S_B &\stackrel{\cup_m}{\Rightarrow} 10 S_B b \\
 &\Rightarrow 10111 S_B ab \\
 &\Rightarrow 1011111 S_B aab \\
 &\Rightarrow 101111110 S_B caab \\
 &\Rightarrow 101111110 - caab
 \end{aligned}$$

undecidable

- However, PCP is unsolvable  $\Rightarrow$  solution to empty intersection is unsolvable (no algorithm)
- One particular PCP is solvable but not all

### Question 33

$$\begin{aligned}
 A &\leq_m B \\
 B &\leq_m C \\
 D &\leq_m C
 \end{aligned}$$

A is RE but not decidable, C is recursive.

Can this statement be true?

No, it is false  $\& \ A \text{ is UD} \Rightarrow B \text{ is UD} \Rightarrow C \text{ is UD}$

# Acceptance Problem of TMs & Halting Problem of TMs

- $A_{TM}$  and  $HALT_{TM}$
- RE languages but not decidable  $\Rightarrow$  undecidable languages
- $A_{TM} = \{ \langle M, w \rangle \mid \text{if } M \text{ is a TM and } M \text{ accepts } w \}$   
↑ pair
- $HALT_{TM} = \{ \langle M, w \rangle \mid \text{if } M \text{ is a TM and } M \text{ halts on } w \}$
- Diagonalisation  $\rightarrow$  reduced  $A_{TM} \leq_m HALT_{TM}$  ← subroutine  
↑ undecidable

## Proving that $L = A_{TM}$ is Undecidable

$$A_{TM} = \{ \langle M, w \rangle \mid \text{if } M \text{ is a TM and } M \text{ accepts } w \}$$

- Assume there exists a TM 'H' that can decide any  $A_{TM}$  (takes  $\langle M, w \rangle$ )
- Define new TM 'D' that uses 'H' as a subroutine
- I/P:  $M, \langle M \rangle \Rightarrow$  O/P: accept if M rejects description  
 reject if M accepts description

Machine H

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$
$M_1$	A	R	A	R		A
$M_2$	A	A	A	A	...	R
$M_3$	R	R	R	R	...	A
$M_4$	A	A	R	R		R
...			...			
D	R	R	A	A		?

can be anything

must be its contradiction

- $\therefore D \& H$  cannot exist
- $A_{TM}$  is undecidable

## HALT<sub>TM</sub>

- $HALT_{TM} = \{ \langle M, w \rangle \mid \text{if } M \text{ is a TM and } M \text{ halts on } w \}$
- Halt by accepting or rejecting
- $A_{TM} \leq_m HALT_{TM}$
- Assume there exists TM  $(R)$  that decides the language  $HALT_{TM}$  ← subroutine
- TM that decides  $A_{TM} = 'H'$
- If  $R$  decides halting, then definitely  $H$  decides  $A_{TM}$ ; but  $H$  cannot exist